

1637 14

Applicant:

Ellen M. HEATH et al.

Confirmation No. 9392

Appl. No.:

10/075,593

Examiner: S. Chunduru

Filing Date:

February 15, 2002

Art Unit: 1637

Title:

IMPROVED METHOD TO ISOLATE DNA

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

RELEVANCE

The relevance of the documents are described in the present specification.

11/04/2004 RFEKADU1 00000043 10075593

01 FC:1806

180.00 GP

TIMING/FEE

The instant Information Disclosure Statement is being filed after the mailing of a first Office action on the merits but before the mailing date of either (1) a final action under §1.113; (2) a notice of allowance under §1.311; or (3) an action that otherwise closes prosecution in the application. The required fee in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached. The Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 08-1641.

Date:

Customer No. 26633

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Sanjay Sitlani

Respectfully submitted,

Attorney for Applicant Registration No. 48,489

2PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 2 Sheet

Complete if Known						
Application Number	10/075,593	<u>.</u>				
Filing Date	February 15, 2002					
First Named Inventor	Ellen M. HEATH et al.					
Group Art Unit	1637					
Examiner Name	S. Chunduru					
Attorney Docket Number	41140-0002					

	U.S. PATENT DOCUMENTS									
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant					
tnitials *	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear					
	A01	5,010,183	4/23/1991	Macfarlane						
	A02	5,234,809	8/10/1993	Boom et al.						
	A03	5,393,672	2/28/1995	Ness et al.						
	A04	5,777,098	7/7/1998	Gray et al.						
	A05	5,973,137	10/26/1999	Heath						
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	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [®]				
	A06	WO 96/18731	6/20/1996	DYNAL A/S						
	A07	WO 97/10331	3/20/1997	BECKMAN INSTRUMENTS, INC.						
				1						

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

(08-00) Please type a plus sign (+) insid

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Examiner Name

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 10/075,593 Filing Date February 15, 2002 Ellen M. HEATH et al. First Named Inventor 1637 **Group Art Unit**

(use as many sheets as necessary)

of 2 Sheet

Substitute for form 1449A/PTO

S. Chunduru 41140-0002 Attorney Docket Number

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS								
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2					
	A08	AUSUBEL et al., "Current Protocols in Molecular Biology, 4.0-4,-4.5.3 and 13.12.1-13.12.3, , John Wiley & Sons, NY, 1989						
	A09	J. FAVALORO et al., "Transcription Maps of Polyoma Virus-Specific RNA: Analysis by Two- Dimensional Nuclease S1 Gel Mapping, Methods in Enzymol, 1980, pp. 718-749, Vol. 65						
	A10	Molecular Cloning: A Laboratory Manual, 2nd ed. 7.3-7.24 Cold Springs Harbor Springs, NY, 1989						

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/17 (01-03)

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FEE TRAN	TAL				
FEE TRAN for FY	2005	B NOA	Ū	3	200

Effective 10/01/2003. Patent fees are subject to an unal revision.

☐ Applicant claims small entity status. See 37 CFR

(\$) 180.00 TOTAL AMOUNT OF PAYMENT

Name (Print/Type)

Signature

Sanjay Sitlani

Complete if Known							
pplication Number	10/075,593						
Filing Date	February 15, 2002						
irst Named Inventor	Ellen M. HEATH et al.						
Examiner Name	S. Chunduru						
Art Unit	1637						
Attorney Docket No.	41140-0002						

METHOD OF PAYMENT (check one)								FI	EE CALC	CULATION (continued)			
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None							3. Al	DDITION	AL FEI	ES			
☐ Deposit Account											· · · · · ·		
Deposit Account Number	08-10	641 (Do	cket No.	4114	0-0002)		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description		ee aid
Deposit							1051	130	2051	65	Surcharge - late filing fee or oath		
Account Name	Helle	r Ehrmai	n White &	Mc.	Auliffe LLP		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
	missioner	is author	ized to: (a	heck	all that apply)		1053 1812	130 2,520	1053 1812	130 2,520	Non-English specification For filing a request for ex parte		
	e fee(s) ind				lit any overpa		1804	920*	1804	920*	reexamination Requesting publication of SIR prior to		
	• • •						1805	1,840*	1805	1,840*	Examiner action Requesting publication of SIR after	<u> </u>	
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	deposit acc		iow, excep	t ioi	the thing fee	to the above-	1251	110	2251	55	Extension for reply within first month		
		FEE	CALCUL	ATIO	N		1252	430	2252	215	Extension for reply within second month		
	C FILING						1253	980	2253	490	Extension for reply within third month		
Large Fee	Entity Fee	Small Fee	Entity Fee	Fee	e Description	Fee Paid	1254	1,530	2254	765	Extension for reply within fourth month		
Code	(\$)	Code	(\$)				1255	2,080	2255	1,040	Extension for reply within fifth month		
1001	790	2001	395	Util	ity filing fee		1401	340	2401	170	Notice of Appeal		
1002	350	2002	175	Des	ign filing fee		1402	340	2402	170	Filing a brief in support of an appeal		
1003	550	2003	275	Plan	t filing fee		1403	300	2403	150	Request for oral hearing		
1004	790	2004	395	Reis	ssue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1005	160	2005	80	Prov fee	visional filing		1452	110	2452	55	Petition to revive - unavoidable		
		st	J BTOTAL	(1)		(\$)	1453	1,370	2453	685	Petition to revive - unintentional		
2. EXTR	A CLAIM	I FEES F	OR UTIL	TY A	AND REISSU	E	1501	1,370	2501	685	Utility issue fee (or reissue)		
			Extra Cla	ims	Fee from below	Fee Paid	1502	490	2502	245	Design issue fee		
Total Claims		-20**=] x	9	=	1503	660	2503	330	Plant issue fee		
Independent Claims		-3**=] x	44	=[1460	130	1460	130	Petitions to the Commissioner		
Multiple D	ependent				150	-	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Fee	Entity Fee	Small Fee	Entity Fee		Fee Descri	ption	1806	180	1806	180	Submission of Information Disclosure		180
Code 1202	(\$) 18	Code 2202	(\$) 9	Clair	ns in excess of	20	8021	40	8021	40	Recording each patent assignment per		
1201	88	2201	44	Indep	pendent claims	in excess of 3	1809	790	2809	395	property (times number of properties) Filing a submission after final		-
1203	300	2203	150	Mult	iple dependent	claim, if not	1810	790	2810	395	rejection (37 CFR 1.129(a)) For each additional invention to be		
				paid							examined (37 CFR 1.129(b))		
1204	88	2204	44	over	issue independ original patent		1801	790	2801	395	Request for Continued Examination (RCE)		
1205	18	2205	9		issue claims in over original pa		1802	900	1802	900	Request for expedited examination of a design application		
	SUBTO	ΓAL (2)			(\$)		Other fee	(specify)					
**or num	iber previo	usly paid,	if greater,	For	Reissues, see	nbove	* Reduce	d by Basic	Filing F	ee Paid	SUBTOTAL (3)	(\$)	180
SUBMIT	TED BY										Complete (if applicable)		

Registration No.

(Attorney/Agent)

Date

48,489

202-912-2000

Customer No. 26633

Telephone